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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,186	04/14/2004	Thomas Jochen Schwalbe	CELL0034

CONFIRMATION NO. 5903

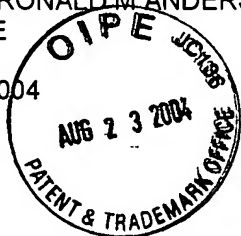
## FORMALITIES LETTER



\*OC000000013070668\*

25268

LAW OFFICES OF RONALD M. ANDERSON  
600 108TH AVE, NE  
SUITE 507  
BELLEVUE, WA 98004



Date Mailed: 06/25/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/24/2004 DTESSEM1 00000033 10824186

01 FC:2001	385.00 OP
02 FC:2202	126.00 OP
03 FC:2201	86.00 OP
04 FC:2051	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 1E. Figure 1E is described in Specification; however, there are two figures 1D are presented in the Drawing.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$212** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

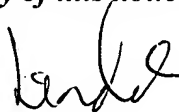
Total additional fee(s) required for this application is **\$662** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$212**
  - **\$86** for **2** independent claims over 3.
  - **\$126** for **14** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

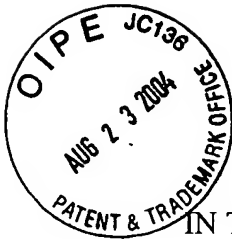


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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



MAIL STOP MISSING PARTS

**CUSTOMER NUMBER 25268**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schwalbe et al. Attorney Docket No: CELL0034  
Serial No: 10/824,186 Group Art Unit: 1764  
Filed: April 14, 2004 Examiner:  
Title: SYSTEM AND METHOD FOR DETERMINING OPTIMAL REACTION  
PARAMETERS USING CONTINUOUSLY RUNNING PROCESS

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT

Bellevue, Washington 98004

August 18, 2004

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted Herewith Are The Following:

- ☒ 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.
- ☒ 2. An Assignment of the invention to Cellular Process Chemistry, Inc. is attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- ☒ 3. A copy of the Notice to File Missing Parts.
- ☒ 4. Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

<u>COMPUTATION OF FEE</u>				
	<u>Number of Claims Filed</u>	<u>Number of Extra Claims</u>	<u>Rate</u>	<u>Basic Fee</u> \$385
Total Claims	34 - 20 =	14	\$9	126
Independent Claims	5 - 3 =	2	\$43	86
Multiple Dependent Claims	---	---	\$145	-0-
Total Filing Fee				\$597
Surcharge Fee				65
Assignment Recording Fee				40
TOTAL FEE				\$ <u>702</u>

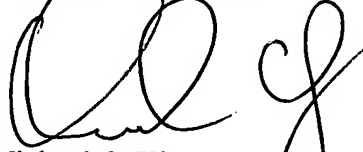
1 X 5. Our check No. 7612 in the amount of \$702 to cover the total fee as  
2 computed above.

3 B. Additional Fee Charges or Credit for Overpayment

4 Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

5 A copy of this sheet is enclosed.

6 Respectfully submitted,

7 

8 Michael C. King  
9 Registration No. 44,832

10 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed  
11 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O.  
12 Box 1450, Alexandria, VA 22313-1450, on August 18, 2004.

13 Date: August 18, 2004

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